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 3105 U.S. PTO  
 01/16/02

FORM PTO-1082

Case Docket No. P5764

January 16, 2002

Express Mail Label No. EL821158160US

0045.0017

 ASSISTANT COMMISSIONER FOR PATENTS  
 Washington, D.C. 20231

Dear Sir:



Transmitted herewith for filing is the patent application of

 Inventor(s): M.A. Carlson and R.E. da Silva  
 For: **METHOD, SYSTEM, AND PROGRAM FOR DETERMINING A  
MODIFICATION OF A SYSTEM RESOURCE CONFIGURATION**

Enclosed are:

- ☒ 18 No. of Sheets of Drawings Sheet(s) of drawings + 0 extra copies;  
☒ 59 pages of Application; 45 pages of specification, 1 page of abstract  
☒ An assignment of the invention to Sun Microsystems, Inc. (    Will follow.)  
☒ An associate power of attorney.  
☒ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27.  
☒ Declaration and Power of Attorney. (    Will follow.)  
☒ Certified copy of Patent Application No. filed from which priority is claimed under 35 U.S.C. §119.  
☒ IDS enclosed - references   .

 3c971 U.S. PTO  
 10/051991  
 01/16/02

CALCULATION OF FEES						
ITEM		NO. OF CLAIMS FILED MINUS BASE*	NO. OF CLAIMS OVER BASE	X SM/LG ENTITY FEE	\$ AMOUNT	\$ FEE
A	TOTAL CLAIMS FEE	48 - 20* =	28	X \$9 or \$18	\$504	
B	INDEPENDENT CLAIMS FEE**	4 - 3* =	1	X \$42 or \$84	\$84	
C	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)					588
D	MULTIPLE-DEPENDENT CLAIMS FEE SMALL ENTITY FEE = \$140; LARGE ENTITY FEE = \$280					\$0
E	BASIC FEE* SMALL ENTITY FEE = \$370; LARGE ENTITY FEE = \$740					\$740
F	TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)					\$1,328
G	ASSIGNMENT RECORDING FEE \$ 40					\$40
	**LIST INDEPENDENT CLAIMS 1, 18, 25, 32					

Please charge Dep. Acct. No. 50-0585 in the amount of

\$0

A copy of this sheet is enclosed.

- ☒ A check in the amount of \$ 1,328 to cover the filing fee is enclosed.  
☒ Check for \$ 40 covering the Recordation of Assignment fee enclosed.  
☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0585. **A copy of this sheet is enclosed.**  
☒ Any additional filing fees required under 37 CFR 1.16.  
☒ Any patent application processing fees under 37 CFR 1.17.  
☐ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 50-0585. **A copy of this sheet is enclosed.**  
☐ Any patent application processing fees under 37 CFR 1.17.  
☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).  
☐ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,

 David W. Victor  
 Registration No. 39,867

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for  
M.A. Carlson and R.E. da Silva  
Serial No.: --  
Filed: January 16, 2002  
For: **METHOD, SYSTEM, AND  
PROGRAM FOR DETERMINING A  
MODIFICATION OF A SYSTEM  
RESOURCE CONFIGURATION**

Examiner: --

Art Unit: --

CERTIFICATE OF MAILING

Assistant Commissioner of Patents  
Washington, D.C. 20231

Dear Sir:

"Express Mail" Label No. EL821158160US

I hereby certify that patent application papers, including specification, claims and 18 sheets of drawings are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231.

January 16, 2002

(Date of Deposit)

Patricia McLaughlin

(Name of person mailing paper or fee)

*Patricia McLaughlin*  
(Signature)